



#3  
A34759 069906.0102  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mitchell et al.  
Serial No. : 10/075,028 Examiner : To be assigned  
Filed : February 12, 2002 Group Art Unit: 1642  
For : METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME  
MEDIATED RNA *TRANS*-SPLICING

RESPONSE TO NOTICE TO FILE MISSING PARTS

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

July 10, 2002

Date of Deposit

Carmella L. Stephens

Attorney Name

41,328

PTO Registration No

*Carmella L. Stephens*

Signature

*July 10, 2002*

Date of Signature

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated April 10, 2002, please find enclosed:

- (i) a Combined Declaration and Power of Attorney, executed by the Applicants; and
- (ii) substitute drawings, in compliance with 37 CFR 1.84(g); and

- (iii) a check in the amount of \$120 (comprised of \$65 declaration surcharge and \$55 extension of time fee). The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*Carmella L. Stephens*  
Rochelle K. Seide  
PTO Registration No. 32,300

Carmella L. Stephens  
PTO Registration No. 41,328

Attorneys for Applicant

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(212) 408-2539

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BAKER BOTTS LLP

Please type a plus sign (+) inside this box → ☐

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/075,028
Filing Date	02/12/2002
First Named Inventor	Mitchell
Group Art Unit	1642
Examiner Name	To be assigned
Attorney Docket Number	A34759 069906.0102

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/ Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☒ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Executed Combined Declaration and Power of Attorney

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

BakerBotts LLP  
30 Rockefeller Plaza  
New York, NY 10112

Signature

*Carmella L. Stephens*

Att Name: Carmella L. Stephens  
PTO Reg: 41,328

Date

July 10, 2002

## CERTIFICATE OF MAILING

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Typed or printed name

Carmella L. Stephens

Signature

*Carmella L. Stephens*

Date

July 10, 2002

**BAKER BOTTS LLP**



Attorney Docket Number: A34759 069906.0102

Title: METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME MEDICATED RNA  
TRANS-SPLICING

Use Space Below for Additional Information:

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BAKER BOTTS LLP



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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 120

## Complete if Known

Application Number	10/075,028
Filing Date	02/12/2002
First Named Inventor	Mitchell
Examiner Name	To be assigned
Group Art Unit	1642
Attorney Docket No.	A34759 069906.0102

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-4377**  
Deposit Account Name **Baker Botts LLP**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

## 2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid  
Total Claims 20 \*\* = 0 X = 0  
Independent Claims 3 \*\* = 0 X = 0  
Multiple Dependent =

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	55
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620*	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 120

## SUBMITTED BY

Name (Print/Type) **Carmella L. Stephens**Registration No. **41,328**  
(Attorney/Agent)

## Complete (if applicable)

Telephone **(212) 408-2539**

Signature

*Carmella L. Stephens*

Date

July 10, 2002

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